

Bias Invariance of Small Upper Spans¹

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Abstract

The resource-bounded measures of certain classes of languages are shown to be invariant under certain changes in the underlying probability measure. Specifically, for any real number $\delta > 0$, any polynomial-time computable sequence $\vec{\beta} = (\beta_0, \beta_1, \dots)$ of biases $\beta_i \in [\delta, 1 - \delta]$, and any class \mathcal{C} of languages that is closed *upwards or downwards* under positive, polynomial-time truth-table reductions with linear bounds on number and length of queries, it is shown that the following two conditions are equivalent.

- (1) \mathcal{C} has p-measure 0 relative to the probability measure given by $\vec{\beta}$.
- (2) \mathcal{C} has p-measure 0 relative to the uniform probability measure.

The analogous equivalences are established for measure in E and measure in E_2 . (Breutzmann and Lutz [5] established this invariance for classes \mathcal{C} that are closed downwards under slightly more powerful reductions, but nothing was known about invariance for classes that are closed upwards.) The proof introduces two new techniques, namely, the *contraction* of a martingale for one probability measure to a martingale for an induced probability measure, and a new, improved *positive bias reduction* of one bias sequence to another. Consequences for the BPP versus E problem and small span theorems are derived.

1 Introduction

Until recently, all research on the measure-theoretic structure of complexity classes has been restricted to the uniform probability measure. This is the probability measure μ that intuitively corresponds to a random experiment in which a language $A \subseteq \{0, 1\}^*$ is chosen probabilistically, using an independent toss of a fair coin to decide whether each string is in A . When effectivized by the methods of resource-bounded measure [15], μ induces measure-theoretic structure on $E = \text{DTIME}(2^{\text{linear}})$, $E_2 = \text{DTIME}(2^{\text{polynomial}})$, and other

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complexity classes. Investigations of this structure by a number of researchers have yielded many new insights over the past seven years. The recent surveys [3, 16, 6] describe much of this work.

There are several reasons for extending our investigation of resource-bounded measure to a wider variety of probability measures. First, such variety is essential in cryptography, computational learning, algorithmic information theory, average-case complexity, and other potential application areas. Second, applications of the probabilistic method [2] often require use of non-uniform probability measures, and this is likely to hold for the resource-bounded probabilistic method [18, 16] as well. Third, resource-bounded measure based on non-uniform probability measures provides new methods for proving results about resource-bounded measure based on the uniform probability measure [5].

Motivated by such considerations, Breutzmann and Lutz [5] initiated the study of resource-bounded measure based on an arbitrary (Borel) probability measure ν on the Cantor space \mathbf{C} (the set of all languages). (Precise definitions of these and other terms appear in Appendix A.) Kautz [13] and Lutz [17] have furthered this study in different directions, and the present paper is another contribution.

The principal focus of the paper [5] is the circumstances under which the ν -measure of a complexity class \mathcal{C} is invariant when the probability measure ν is replaced by some other probability measure ν' . For an *arbitrary* class \mathcal{C} of languages, such invariance can only occur if ν and ν' are fairly close to one another: Extending results of Kakutani [12], Vovk [24], and Breutzmann and Lutz [5], Kautz [13] has shown that the “square-summable equivalence” of ν and ν' is sufficient to ensure $\nu_p(\mathcal{C}) = 0 \iff \nu'_p(\mathcal{C}) = 0$, but very little more can be said when \mathcal{C} is arbitrary.

Fortunately, complexity classes have more structure than arbitrary classes. Most complexity classes of interest, including P, NP, coNP, R, BPP, AM, P/Poly, PH, etc., are closed downwards under positive, polynomial-time truth-table reductions ($\leq_{\text{pos-tt}}^{\text{P}}$ -reductions), and their intersections with E are closed downward under $\leq_{\text{pos-tt}}^{\text{P}}$ -reductions with linear bounds on the length of queries ($\leq_{\text{pos-tt}}^{\text{P,lin}}$ -reductions). Breutzmann and Lutz [5] proved that every class \mathcal{C} with these closure properties enjoys a substantial amount of invariance in its measure. Specifically, if \mathcal{C} is any such class and $\vec{\beta}$ and $\vec{\beta}'$ are strongly positive, P-sequences of biases, then the equivalences

$$\begin{aligned} \mu_{\vec{\beta}}^{\vec{\beta}'}(\mathcal{C}) = 0 &\iff \mu_{\vec{\beta}'}^{\vec{\beta}}(\mathcal{C}) = 0, \\ \mu_{\vec{\beta}}^{\vec{\beta}'}(\mathcal{C}|\mathbf{E}) = 0 &\iff \mu_{\vec{\beta}'}^{\vec{\beta}}(\mathcal{C}|\mathbf{E}) = 0, \\ \mu_{\vec{\beta}}^{\vec{\beta}'}(\mathcal{C}|\mathbf{E}_2) = 0 &\iff \mu_{\vec{\beta}'}^{\vec{\beta}}(\mathcal{C}|\mathbf{E}_2) = 0 \end{aligned} \tag{1}$$

hold, where $\mu^{\vec{\beta}}$ and $\mu^{\vec{\beta}'}$ are the probability measures corresponding to the bias sequences $\vec{\beta}$ and $\vec{\beta}'$, respectively.

Our primary concern in the present paper is to extend this bias invariance to classes that are closed *upwards* under some type \leq_r^P of polynomial reductions. We have two reasons for interest in this question. First and foremost, many recent investigations in complexity theory focus on the resource-bounded measure of the *upper* \leq_r^P -span

$$P_r^{-1}(A) = \{B \mid A \leq_r^P B\}$$

of a language A . Such investigations include work on small span theorems [9, 14, 4, 11, 7] and work on the BPP versus E question [1, 7, 8]. In general, the upper \leq_r^P -span of a language is closed upwards, but not downwards, under \leq_r^P -reductions.

Our second reason for interest in upward closure conditions is that the above-mentioned results of Breutzmann and Lutz [5] do *not* fully establish the invariance of measures of complexity classes under the indicated changes of bias sequences. For example, if $\vec{\beta}$ is an arbitrary strongly positive P-sequence of biases, the results of [5] show that

$$\mu^{\vec{\beta}}(\mathcal{C} \mid E) = 0 \iff \mu(\mathcal{C} \mid E) = 0,$$

but they do *not* show that

$$\mu^{\vec{\beta}}(\mathcal{C} \mid E) = 1 \iff \mu(\mathcal{C} \mid E) = 1.$$

In general, the condition $\nu(\mathcal{C} \mid E) = 1$ is equivalent to $\nu(\mathcal{C}^c \mid E) = 0$, where \mathcal{C}^c is the complement of \mathcal{C} . Since \mathcal{C} is closed downwards under \leq_r^P -reductions if and only if \mathcal{C}^c is closed upwards under \leq_r^P -reductions, we are again led to consider upward closure conditions.

Our main theorem, the Bias Invariance Theorem, states that, if \mathcal{C} is any class of languages that is closed *upwards or downwards* under positive, polynomial-time, truth-table reductions with linear bounds on number and length of queries ($\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reductions), and if $\vec{\beta}$ and $\vec{\beta}'$ are strongly positive P-sequences of biases, then the equivalences (1) above hold. The proof introduces two new techniques, namely, the *contraction* of a martingale for one probability measure to a martingale for an induced probability measure (dual to the martingale *dilation* technique introduced in [5]) and a new, improved *positive bias reduction* of one bias sequence to another.

We also note three easy consequences of our Bias Invariance Theorem. First, in combination with work of Allender and Strauss [1] and Buhrman, van Melkebeek, Regan, Sivakumar, and Strauss [8], it implies that, if there is *any* strongly positive P-sequence of biases $\vec{\beta}$ such

that the complete \leq_T^P -degree for E_2 does not have $\mu^{\vec{\beta}}$ -measure 1 in E_2 , then $E \not\subseteq \text{BPP}$. Second, in combination with the work of Regan, Sivakumar, and Cai [19], it implies that, for any reasonable complexity class \mathcal{C} , if there exists a strongly positive P-sequence of biases $\vec{\beta}$ such that \mathcal{C} has $\mu^{\vec{\beta}}$ -measure 1 in E , then $E \subseteq \mathcal{C}$ (and similarly for E_2). Third, if \leq_r^P is any polynomial reducibility such that $A \leq_{\text{pos-lin-tt}}^{\text{P,lin}} B$ implies $A \leq_r^P B$, and if $\vec{\beta}$ is a strongly positive P-sequence of biases, then the small span theorem for \leq_r^P -reductions holds with respect to $\mu^{\vec{\beta}}$ if and only if it holds with respect to μ . Tantalizingly, this hypothesis places \leq_r^P “just beyond” the small span theorem of Buhrman and van Melkebeek [7], which is the strongest small span theorem proven to date for exponential time.

2 Preliminaries

We write $\{0, 1\}^*$ for the set of all (finite, binary) *strings*, and we write $|x|$ for the length of a string x . The empty string, λ , is the unique string of length 0. The *standard enumeration* of $\{0, 1\}^*$ is the sequence $s_0 = \lambda, s_1 = 0, s_2 = 1, s_3 = 00, \dots$, ordered first by length and then lexicographically. For $x, y \in \{0, 1\}^*$, we write $x < y$ if x precedes y in this standard enumeration. For $n \in \mathbb{N}$, $\{0, 1\}^n$ denotes the set of all strings of length n , and $\{0, 1\}^{\leq n}$ denotes the set of all strings of length at most n .

If x is a string or an (infinite, binary) *sequence*, and if $0 \leq i \leq j < |x|$, then $x[i..j]$ is the string consisting of the i^{th} through j^{th} bits of x . In particular, $x[0..i-1]$ is the *i -bit prefix* of x . We write $x[i]$ for $x[i..i]$, the i^{th} bit of x . (Note that the leftmost bit of x is $x[0]$, the 0^{th} bit of x .)

If w is a string and x is a string or sequence, then we write $w \sqsubseteq x$ if w is a prefix of x , i.e., if there is a string or sequence y such that $x = wy$.

The *Boolean value* of a condition ϕ is $\llbracket \phi \rrbracket = \mathbf{if} \phi \mathbf{then} 1 \mathbf{else} 0$.

We work in the *Cantor space* \mathbf{C} , consisting of all languages $A \subseteq \{0, 1\}^*$. We identify each language A with its *characteristic sequence*, which is the infinite binary sequence χ_A defined by

$$\chi_A[n] = \llbracket s_n \in A \rrbracket$$

for each $n \in \mathbb{N}$. Relying on this identification, we also consider \mathbf{C} to be the set of all infinite binary sequences. The *complement* of a set X of languages is $X^c = \mathbf{C} - X$.

For each string $w \in \{0, 1\}^*$, the *cylinder generated by w* is the set

$$\mathbf{C}_w = \{A \in \mathbf{C} \mid w \sqsubseteq \chi_A\}.$$

3 Martingale Contraction

Given a positive coin-toss probability measure ν , an orderly truth-table reduction (f, g) , and a $\nu^{(f,g)}$ -martingale d (where $\nu^{(f,g)}$ is the probability measure induced by ν and (f, g)), Breutzmann and Lutz [5] showed how to construct a ν -martingale $(f, g)^\wedge d$, called the (f, g) -*dilation* of d , such that $(f, g)^\wedge d$ succeeds on A whenever d succeeds on $F_{(f,g)}(A)$. (See [5] or Appendix B for notation and terminology involving truth-table reductions.) In this section we present a dual of this construction. Given ν and (f, g) as above and a ν -martingale d , we show how to construct a $\nu^{(f,g)}$ -supermartingale $(f, g)_\wedge d$, called the (f, g) -*contraction* of d , such that $(f, g)_\wedge d$ succeeds on A whenever d succeeds strongly on every element of $F_{(f,g)}^{-1}(\{A\})$.

The notion of an (f, g) -step, introduced in [5], will also be useful here.

Definition. Let (f, g) be an orderly \leq_{tt} -reduction.

1. An (f, g) -*step* is a positive integer l such that $F_{(f,g)}(0^{l-1}) \neq F_{(f,g)}(0^l)$.
2. For $k \in \mathbb{N}$, we let $\text{step}(k)$ be the least (f, g) -step l such that $l \geq k$.
3. For $v, w \in \{0, 1\}^*$, we write $v \succ w$ to indicate that $w \sqsubseteq v$ and $|v| = \text{step}(|w| + 1)$. (That is, $v \succ w$ means that v is a proper extension of w to the next step.)

Our construction makes use of a special-purpose inverse of $F_{(f,g)}$ that depends on both (f, g) and d .

Definition. Let (f, g) be an orderly \leq_{tt} -reduction, let ν be a positive probability measure on \mathbf{C} , and let d be a ν -martingale. Then the partial function

$$F_{(f,g),d}^{-1} : \{0, 1\}^* \longrightarrow \{0, 1\}^*$$

is defined recursively as follows.

- (i) $F_{(f,g),d}^{-1}(\lambda) = \lambda$.
- (ii) For $w \in \{0, 1\}^*$ and $b \in \{0, 1\}$, $F_{(f,g),d}^{-1}(wb)$ is the lexicographically first string $v \succ F_{(f,g),d}^{-1}(w)$ such that $F_{(f,g)}(v) = wb$ and, for all $v' \succ F_{(f,g),d}^{-1}(w)$ such that $F_{(f,g)}(v') = wb$, we have $d(v) \leq d(v')$. (That is, v minimizes $d(v)$ on the set of all $v \succ F_{(f,g),d}^{-1}(w)$ satisfying $F_{(f,g)}(v) = wb$.)

Note that the function $F_{(f,g),d}^{-1}$ is strictly monotone (i.e., $w \sqsubset w'$ implies that $F_{(f,g),d}^{-1}(w) \sqsubset F_{(f,g),d}^{-1}(w')$, provided that these values exist), whence it extends naturally to a partial function

$$F_{(f,g),d}^{-1} : \mathbf{C} \longrightarrow \mathbf{C}.$$

It is easily verified that $F_{(f,g),d}^{-1}$ inverts $F_{(f,g)}$ in the sense that, for all $x \in \{0, 1\}^* \cup \mathbf{C}$, $F_{(f,g),d}^{-1}$ finds a preimage of $F_{(f,g)}(x)$, i.e.,

$$F_{(f,g)}(F_{(f,g),d}^{-1}(F_{(f,g)}(x))) = F_{(f,g)}(x).$$

We now define the (f, g) -contraction of a ν -martingale d .

Definition. Let (f, g) be an orderly \leq_{tt} -reduction, let ν be a positive probability measure on \mathbf{C} , and let d be a ν -martingale. Then the (f, g) -contraction of d is the function

$$(f, g)_{\downarrow} d : \{0, 1\}^* \longrightarrow \{0, 1\}^*$$

defined as follows.

- (i) $(f, g)_{\downarrow} d(\lambda) = d(\lambda)$.
- (ii) For $w \in \{0, 1\}^*$ and $b \in \{0, 1\}$,

$$(f, g)_{\downarrow} d(wb) = \begin{cases} d(F_{(f,g),d}^{-1}(wb)) & \text{if } d(F_{(f,g),d}^{-1}(wb)) \text{ is defined} \\ 2 \cdot (f, g)_{\downarrow} d(w) & \text{otherwise.} \end{cases}$$

Theorem 3.1 (Martingale Contraction Theorem). Assume that ν is a positive probability measure on \mathbf{C} , (f, g) is an orderly \leq_{tt} -reduction, and d is a ν -martingale. Then $(f, g)_{\downarrow} d$ is a $\nu^{(f,g)}$ -supermartingale. Moreover, for every language $A \subseteq \{0, 1\}^*$, if $F_{(f,g)}^{-1}(\{A\}) \subseteq S_{\text{str}}^{\infty}[d]$, then $A \in S^{\infty}[(f, g)_{\downarrow} d]$.

4 Bias Invariance

In this section we present our main results.

Definition. Let (f, g) be a \leq_{tt} -reduction.

1. (f, g) is *positive* (briefly, a $\leq_{\text{pos-tt}}$ -reduction) if, for all $A, B \subseteq \{0, 1\}^*$, $A \subseteq B$ implies $F_{(f,g)}(A) \subseteq F_{(f,g)}(B)$.
2. (f, g) is *polynomial-time computable* (briefly, a $\leq_{\text{tt}}^{\text{P}}$ -reduction) if the functions f and g are computable in polynomial time.
3. (f, g) is *polynomial-time computable with linear-bounded queries* (briefly, a $\leq_{\text{tt}}^{\text{P,lin}}$ -reduction) if (f, g) is a $\leq_{\text{tt}}^{\text{P}}$ -reduction and there is a constant $c \in \mathbb{N}$ such that, for all $x \in \{0, 1\}^*$, $Q_{(f,g)}(x) \subseteq \{0, 1\}^{\leq c(1+|x|)}$.
4. (f, g) is *polynomial-time computable with a linear number of queries* (briefly, a $\leq_{\text{lin-tt}}^{\text{P}}$ -reduction) if (f, g) is a $\leq_{\text{tt}}^{\text{P}}$ -reduction and there is a constant $c \in \mathbb{N}$ such that, for all $x \in \{0, 1\}^*$, $|Q_{(f,g)}(x)| \leq c(1 + |x|)$.

Of course, a $\leq_{\text{pos-tt}}^{\text{P,lin}}$ -reduction is a \leq_{tt} -reduction with properties 1–3, and a $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reduction is a \leq_{tt} -reduction with properties 1–4.

We now present the Positive Bias Reduction Theorem. This strengthens the identically-named result of Breutzmann and Lutz [5] by giving a $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reduction in place of a $\leq_{\text{pos-tt}}^{\text{P,lin}}$ -reduction. This technical improvement, which is essential for our purposes here, requires a substantially different construction. Details appear in Appendix D.

Theorem 4.1 (Positive Bias Reduction Theorem). Let $\vec{\beta}$ and $\vec{\beta}'$ be strongly positive, P-exact sequences of biases, and let (f, g) be the reduction defined in Appendix D. Then (f, g) is an orderly $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reduction, and the probability measure induced by $\mu^{\vec{\beta}}$ and (f, g) is a coin-toss probability measure $\mu^{\vec{\beta}''}$, where $\vec{\beta}'' \approx \vec{\beta}'$.

The following result is our main theorem.

Theorem 4.2 (Bias Invariance Theorem). Assume that $\vec{\beta}$ and $\vec{\beta}'$ are strongly positive P-sequences of biases, and let \mathcal{C} be a class of languages that is closed upwards or downwards under $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reductions. Then

$$\mu_{\text{p}}^{\vec{\beta}}(\mathcal{C}) = 0 \iff \mu_{\text{p}}^{\vec{\beta}'}(\mathcal{C}) = 0.$$

The “downwards” part of Theorem 4.2 is a technical improvement of the Bias Equivalence Theorem of [5] from $\leq_{\text{pos-tt}}^{\text{P,lin}}$ -reductions to $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reductions. The proof of this improvement is simply the proof in [5] with Theorem 4.1 used in place of its predecessor in [5].

The “upwards” part of Theorem 4.2 is entirely new. The proof of this result is similar to the proof of the Bias Equivalence Theorem in [5], but now in addition to using our improved Positive Bias Reduction Theorem, we use the Martingale Contraction Theorem of section 3 in place of the Martingale Dilation Theorem of [5]. We also note that the linear bound on number of queries in Theorem 4.1 is essential for the “upwards” direction.

If \leq_r^{P} is a polynomial reducibility, then a class \mathcal{C} is closed upwards under \leq_r^{P} -reductions if and only if \mathcal{C}^c is closed downwards under \leq_r^{P} -reductions. We thus have the following immediate consequence of Theorem 4.2.

Corollary 4.3. Assume that $\vec{\beta}$ and $\vec{\beta}'$ are strongly positive P-sequences of biases, and let \mathcal{C} be a class of languages that is closed upwards or downwards under $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reductions. Then

$$\mu_{\text{p}}^{\vec{\beta}}(\mathcal{C}) = 1 \iff \mu_{\text{p}}^{\vec{\beta}'}(\mathcal{C}) = 1.$$

We now mention some consequences of Theorem 4.2, beginning with a discussion of the measure of the complete $\leq_{\text{T}}^{\text{P}}$ -degree for exponential time, and its consequences for the BPP versus E problem.

For each class \mathcal{D} of languages, we use the notations

$$\begin{aligned} \mathcal{H}_{\text{T}}(\mathcal{D}) &= \{A \mid A \text{ is } \leq_{\text{T}}^{\text{P}}\text{-hard for } \mathcal{D}\}, \\ \mathcal{C}_{\text{T}}(\mathcal{D}) &= \{A \mid A \text{ is } \leq_{\text{T}}^{\text{P}}\text{-complete for } \mathcal{D}\}, \end{aligned}$$

and similarly for other reducibilities. The following easy observation shows that every consequence of $\mu(\mathcal{C}_{\text{T}}(\text{E}_2) \mid \text{E}_2) \neq 1$ is also a consequence of $\mu(\mathcal{C}_{\text{T}}(\text{E}) \mid \text{E}) \neq 1$.

Lemma 4.4. $\mu(\mathcal{C}_T(\mathbb{E})|\mathbb{E}) \neq 1 \implies \mu(\mathcal{C}_T(\mathbb{E}_2)|\mathbb{E}_2) \neq 1.$

Proof. Juedes and Lutz [10] have shown that, if X is a set of languages that is closed downwards under \leq_m^P -reductions, then $\mu(X|\mathbb{E}_2) = 0 \implies \mu(X|\mathbb{E}) = 0$. Applying this result with $X = \mathcal{H}_T(\mathbb{E})^c = \mathcal{H}_T(\mathbb{E}_2)^c$ yields the lemma. \square

Allender and Strauss [1] have proven that $\mu_p(\mathcal{H}_T(\text{BPP})) = 1$. Buhrman, van Melkebeek, Regan, Sivakumar, and Strauss [8] have noted that this implies that $\mu(\mathcal{C}_T(\mathbb{E}_2)|\mathbb{E}_2) \neq 1 \implies \mathbb{E} \not\subseteq \text{BPP}$. Combining this argument with Corollary 4.3 yields the following extension.

Corollary 4.5. If there exists a strongly positive P-sequence of biases $\vec{\beta}$ such that $\mu^{\vec{\beta}}(\mathcal{C}_T(\mathbb{E}_2)|\mathbb{E}_2) \neq 1$, then $\mathbb{E} \not\subseteq \text{BPP}$.

Regan, Sivakumar, and Cai [19] have proven a “most is all” lemma, stating that if \mathcal{C} is any class of languages that is either closed under finite unions and intersections or closed under symmetric difference, then $\mu(\mathcal{C}|\mathbb{E}) = 1 \implies \mathbb{E} \subseteq \mathcal{C}$. Combining this with Corollary 4.3 gives the following extended “most is all” result.

Corollary 4.6. Let \mathcal{C} be a class of languages that is closed upwards or downwards under $\leq_{\text{pos-lin-tt}}^{\text{P,lin}}$ -reductions, and is also closed under either finite unions and intersections or symmetric difference. If there is any strongly positive, P-sequence of biases $\vec{\beta}$ such that $\mu^{\vec{\beta}}(\mathcal{C}|\mathbb{E}) = 1$, then $\mathbb{E} \subseteq \mathcal{C}$.

Of course, the analagous result holds for \mathbb{E}_2 .

We conclude with a brief discussion of small span theorems. Given a polynomial reducibility \leq_r^P , the *lower* \leq_r^P -span of a language A is

$$P_r(A) = \{B | B \leq_r^P A\},$$

and the *upper* \leq_r^P -span of A is

$$P_r^{-1}(A) = \{B | A \leq_r^P B\}.$$

We will use the following compact notation.

Definition. Let \leq_r^P be a polynomial reducibility type, and let ν be a probability measure on \mathbf{C} . Then the *small span theorem for \leq_r^P -reductions in the class E over the probability measure ν* is the assertion

$$\text{SST}_\nu(\leq_r^P, E)$$

stating that, for every $A \in E$, $\nu(P_r(A)|E) = 0$ or $\nu_p(P_r^{-1}(A)) = \nu(P_r^{-1}(A)|E) = 0$. When the probability measure is μ , we omit it from the notation, writing $\text{SST}(\leq_r^P, E)$ for $\text{SST}_\mu(\leq_r^P, E)$. Similar assertions for other classes, e.g., $\text{SST}_\nu(\leq_r^P, E_2)$, are defined in the now-obvious manner.

Juedes and Lutz [9] proved the first small span theorems, $\text{SST}(\leq_m^P, E)$ and $\text{SST}(\leq_m^P, E_2)$, and noted that extending either to \leq_T^P would establish $E \not\subseteq \text{BPP}$. Lindner [14] established $\text{SST}(\leq_{1-\text{tt}}^P, E)$ and $\text{SST}(\leq_{1-\text{tt}}^P, E_2)$, and Ambos-Spies, Neis, and Terwijn [4] proved $\text{SST}(\leq_{k-\text{tt}}^P, E)$ and $\text{SST}(\leq_{k-\text{tt}}^P, E_2)$ for all fixed $k \in \mathbb{N}$. Very recently, Buhrman and van Melkebeek [7] have taken a major step forward by proving $\text{SST}(\leq_{g(n)-\text{tt}}^P, E_2)$ for every function $g(n)$ satisfying $g(n) = n^{o(1)}$. We note that the Bias Invariance Theorem implies that small span theorems lying “just beyond” this latter result are somewhat robust with respect to changes of biases.

Theorem 4.7. If \leq_r^P is a polynomial reducibility such that $A \leq_{\text{pos-lin-tt}}^{P, \text{lin}} B$ implies $A \leq_r^P B$, then for every strongly positive P-sequence of biases $\vec{\beta}$,

$$\text{SST}_{\mu_{\vec{\beta}}}(\leq_r^P, E) \iff \text{SST}(\leq_r^P, E),$$

and similarly for E_2 .

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OPTIONAL TECHNICAL APPENDICES

Appendix A. Resource-Bounded ν -Measure

In this appendix, we present the basic elements of resource-bounded measure based on an arbitrary probability measure ν on \mathbf{C} . The material in Appendices A and B is taken, with permission, from [5].

Definition. A *probability measure* on \mathbf{C} is a function

$$\nu : \{0, 1\}^* \longrightarrow [0, 1]$$

such that $\nu(\lambda) = 1$, and for all $w \in \{0, 1\}^*$,

$$\nu(w) = \nu(w0) + \nu(w1).$$

Intuitively, $\nu(w)$ is the probability that $A \in \mathbf{C}_w$ when we “choose a language $A \in \mathbf{C}$ according to the probability measure ν .” We sometimes write $\nu(\mathbf{C}_w)$ for $\nu(w)$.

Examples.

1. The *uniform probability measure* μ is defined by

$$\mu(w) = 2^{-|w|}$$

for all $w \in \{0, 1\}^*$.

2. A *sequence of biases* is a sequence $\vec{\beta} = (\beta_0, \beta_1, \beta_2, \dots)$, where each $\beta_i \in [0, 1]$. Given a sequence of biases $\vec{\beta}$, the *$\vec{\beta}$ -coin-toss probability measure* (also called the *$\vec{\beta}$ -product probability measure*) is the probability measure $\mu^{\vec{\beta}}$ defined by

$$\mu^{\vec{\beta}}(w) = \prod_{i=0}^{|w|-1} ((1 - \beta_i) \cdot (1 - w[i]) + \beta_i \cdot w[i])$$

for all $w \in \{0, 1\}^*$.

Intuitively, $\mu^{\vec{\beta}}(w)$ is the probability that $w \sqsubseteq A$ when the language $A \subseteq \{0, 1\}^*$ is chosen probabilistically according to the following random experiment. For each string s_i in the standard enumeration s_0, s_1, s_2, \dots of $\{0, 1\}^*$, we (independently of all other strings) toss a

special coin, whose probability is β_i of coming up heads, in which case $s_i \in A$, and $1 - \beta_i$ of coming up tails, in which case $s_i \notin A$.

Definition. A probability measure ν on \mathbf{C} is *positive* if, for all $w \in \{0, 1\}^*$, $\nu(w) > 0$.

Definition. If ν is a positive probability measure and $u, v \in \{0, 1\}^*$, then the *conditional ν -measure of u given v* is

$$\nu(u|v) = \begin{cases} 1 & \text{if } u \sqsubseteq v \\ \frac{\nu(u)}{\nu(v)} & \text{if } v \sqsubseteq u \\ 0 & \text{otherwise.} \end{cases}$$

Note that $\nu(u|v)$ is the conditional probability that $A \in \mathbf{C}_u$, given that $A \in \mathbf{C}_v$, when $A \in \mathbf{C}$ is chosen according to the probability measure ν .

Definition. A probability measure ν on \mathbf{C} is *strongly positive* if (ν is positive and) there is a constant $\delta > 0$ such that, for all $w \in \{0, 1\}^*$ and $b \in \{0, 1\}$, $\nu(wb|w) \geq \delta$.

Definition. A sequence of biases $\vec{\beta} = (\beta_0, \beta_1, \beta_2, \dots)$ is *strongly positive* if there is a constant $\delta > 0$ such that, for all $i \in \mathbb{N}$, $\beta_i \in [\delta, 1 - \delta]$.

We next review the well-known notion of a martingale over a probability measure ν . Computable martingales were used by Schnorr [20, 21, 22, 23] in his investigations of randomness, and have more recently been used by Lutz [15] in the development of resource-bounded measure.

Definition. Let ν be a probability measure on \mathbf{C} . Then a *ν -martingale* is a function $d : \{0, 1\}^* \rightarrow [0, \infty)$ such that, for all $w \in \{0, 1\}^*$,

$$d(w)\nu(w) = d(w0)\nu(w0) + d(w1)\nu(w1).$$

If $\vec{\beta}$ is a sequence of biases, then a $\mu^{\vec{\beta}}$ -martingale is simply called a *$\vec{\beta}$ -martingale*. A μ -martingale is even more simply called a *martingale*. (That is, when the probability measure is not specified, it is assumed to be the uniform probability measure μ .)

Definition. A ν -martingale d *succeeds* on a language $A \in \mathbf{C}$ if

$$\limsup_{n \rightarrow \infty} d(\chi_A[0..n-1]) = \infty.$$

The *success set* of a ν -martingale d is the set

$$S^\infty[d] = \{A \in \mathbf{C} \mid d \text{ succeeds on } A\}.$$

The *strong success set* of a ν -martingale d is the set

$$S_{\text{str}}^\infty[d] = \left\{ A \in \mathbf{C} \mid \limsup_{n \rightarrow \infty} d(A[0..n-1]) = \infty \right\}.$$

Definition. Let ν be a probability measure on \mathbf{C} .

1. A p - ν -martingale is a ν -martingale that is p -computable.
2. A p_2 - ν -martingale is a ν -martingale that is p_2 -computable.

A p - $\mu^{\vec{\beta}}$ -martingale is called a p - $\vec{\beta}$ -martingale, a p - μ -martingale is called a p -martingale, and similarly for p_2 .

We now come to the fundamental ideas of resource-bounded ν -measure.

Definition. Let ν be a probability measure on \mathbf{C} , and let $X \subseteq \mathbf{C}$.

1. X has p - ν -measure 0, and we write $\nu_p(X) = 0$, if there is a p - ν -martingale d such that $X \subseteq S^\infty[d]$.
2. X has p - ν -measure 1, and we write $\nu_p(X) = 1$, if $\nu_p(X^c) = 0$, where $X^c = \mathbf{C} - X$.

The conditions $\nu_{p_2}(X) = 0$ and $\nu_{p_2}(X) = 1$ are defined analogously.

Definition. Let ν be a probability measure on \mathbf{C} , and let $X \subseteq \mathbf{C}$.

1. X has ν -measure 0 in E , and we write $\nu(X|E) = 0$, if $\nu_p(X \cap E) = 0$.

2. X has ν -measure 1 in E , and we write $\nu(X|E) = 1$, if $\nu(X^c|E) = 0$.
3. X has ν -measure 0 in E_2 , and we write $\nu(X|E_2) = 0$, if $\nu_{p_2}(X \cap E_2) = 0$.
4. X has ν -measure 1 in E_2 , and we write $\nu(X|E_2) = 1$, if $\nu(X^c|E_2) = 0$.

Definition. Let ν be a positive probability measure on \mathbf{C} , let $A \subseteq \{0, 1\}^*$, and let $i \in \mathbb{N}$. Then the i^{th} conditional ν -probability along A is

$$\nu_A(i + 1|i) = \nu(\chi_A[0..i] \mid \chi_A[0..i - 1]).$$

Definition. Two positive probability measures ν and ν' on \mathbf{C} are *summably equivalent*, and we write $\nu \approx \nu'$, if for every $A \subseteq \{0, 1\}^*$,

$$\sum_{i=0}^{\infty} |\nu_A(i + 1|i) - \nu'_A(i + 1|i)| < \infty.$$

Definition.

1. A *P-sequence of biases* is a sequence $\vec{\beta} = (\beta_0, \beta_1, \beta_2, \dots)$ of biases $\beta_i \in [0, 1]$ for which there is a function

$$\hat{\beta} : \mathbb{N} \times \mathbb{N} \longrightarrow \mathbb{Q} \cap [0, 1]$$

with the following two properties.

- (i) For all $i, r \in \mathbb{N}$, $|\hat{\beta}(i, r) - \beta_i| \leq 2^{-r}$.
 - (ii) There is an algorithm that, for all $i, r \in \mathbb{N}$, computes $\hat{\beta}(i, r)$ in time polynomial in $|s_i| + r$ (i.e., in time polynomial in $\log(i + 1) + r$).
2. A *P-exact sequence of biases* is a sequence $\vec{\beta} = (\beta_0, \beta_1, \beta_2, \dots)$ of (rational) biases $\beta_i \in \mathbb{Q} \cap [0, 1]$ such that the function $i \mapsto \beta_i$ is computable in time polynomial in $|s_i|$.

Definition. If $\vec{\alpha}$ and $\vec{\beta}$ are sequences of biases, then $\vec{\alpha}$ and $\vec{\beta}$ are *summably equivalent*, and we write $\vec{\alpha} \approx \vec{\beta}$, if $\sum_{i=0}^{\infty} |\alpha_i - \beta_i| < \infty$.

It is clear that $\vec{\alpha} \approx \vec{\beta}$ if and only if $\mu^{\vec{\alpha}} \approx \mu^{\vec{\beta}}$.

Lemma A.1 (Breutzmann and Lutz [5]). For every P-sequence of biases $\vec{\beta}$, there is a P-exact sequence of biases $\vec{\beta}^i$ such that $\vec{\beta} \approx \vec{\beta}^i$.

Appendix B. Truth-Table Reductions

A *truth-table reduction* (briefly, a \leq_{tt} -reduction) is an ordered pair (f, g) of total recursive functions such that for each $x \in \{0, 1\}^*$, there exists $n(x) \in \mathbb{Z}^+$ such that the following two conditions hold.

- (i) $f(x)$ is (the standard encoding of) an $n(x)$ -tuple $(f_1(x), \dots, f_{n(x)}(x))$ of strings $f_i(x) \in \{0, 1\}^*$, which are called the *queries* of the reduction (f, g) on input x . We use the notation $Q_{(f,g)}(x) = \{f_1(x), \dots, f_{n(x)}(x)\}$ for the set of such queries.
- (ii) $g(x)$ is (the standard encoding of) an $n(x)$ -input, 1-output Boolean circuit, called the *truth table* of the reduction (f, g) on input x . We identify $g(x)$ with the Boolean function computed by this circuit, i.e.,

$$g(x) : \{0, 1\}^{n(x)} \longrightarrow \{0, 1\}.$$

A truth-table reduction (f, g) *induces* the function

$$F_{(f,g)} : \mathbf{C} \longrightarrow \mathbf{C}$$

$$F_{(f,g)}(A) = \{x \in \{0, 1\}^* \mid g(x) (\llbracket f_1(x) \in A \rrbracket \cdots \llbracket f_{n(x)}(x) \in A \rrbracket) = 1\}.$$

If A and B are languages and (f, g) is a \leq_{tt} -reduction, then (f, g) *reduces* B to A , and we write

$$B \leq_{\text{tt}} A \text{ via } (f, g),$$

if $B = F_{(f,g)}(A)$. More generally, if A and B are languages, then B is *truth-table reducible* (briefly, \leq_{tt} -reducible) to A , and we write $B \leq_{\text{tt}} A$, if there exists a \leq_{tt} -reduction (f, g) such that $B \leq_{\text{tt}} A$ via (f, g) .

If (f, g) is a \leq_{tt} -reduction, then the function $F_{(f,g)} : \mathbf{C} \longrightarrow \mathbf{C}$ defined above induces a corresponding function

$$F_{(f,g)} : \{0, 1\}^* \longrightarrow \{0, 1\}^* \cup \mathbf{C}$$

defined as follows. (It is standard practice to use the same notation for these two functions, and no confusion will result from this practice here.) Intuitively, if $A \in \mathbf{C}$ and $w \sqsubseteq A$, then $F_{(f,g)}(w)$ is the largest prefix of $F_{(f,g)}(A)$ such that w answers all queries in this prefix. Formally, let $w \in \{0, 1\}^*$, and let

$$A_w = \{s_i \mid 0 \leq i < |w| \text{ and } w[i] = 1\}.$$

If $Q_{(f,g)}(x) \subseteq \{s_0, \dots, s_{|w|-1}\}$ for all $x \in \{0, 1\}^*$, then

$$F_{(f,g)}(w) = F_{(f,g)}(A_w).$$

Otherwise,

$$F_{(f,g)}(w) = \chi_{F_{(f,g)}(A_w)}[0..m-1],$$

where m is the greatest nonnegative integer such that

$$\bigcup_{i=0}^{m-1} Q_{(f,g)}(s_i) \subseteq \{s_0, \dots, s_{|w|-1}\}$$

Now let (f, g) be a \leq_{tt} -reduction, and let $z \in \{0, 1\}^*$. Then the *inverse image* of the cylinder \mathbf{C}_z under the reduction (f, g) is

$$\begin{aligned} F_{(f,g)}^{-1}(\mathbf{C}_z) &= \{A \in \mathbf{C} \mid F_{(f,g)}(A) \in \mathbf{C}_z\} \\ &= \{A \in \mathbf{C} \mid z \subseteq F_{(f,g)}(A)\}. \end{aligned}$$

The following well-known fact is easily verified.

Lemma B.1. If ν is a probability measure on \mathbf{C} and (f, g) is a \leq_{tt} -reduction, then the function

$$\begin{aligned} \nu^{(f,g)} : \{0, 1\}^* &\longrightarrow [0, 1] \\ \nu^{(f,g)}(z) &= \nu(F_{(f,g)}^{-1}(\mathbf{C}_z)) \end{aligned}$$

is also a probability measure on \mathbf{C} .

The probability measure $\nu^{(f,g)}$ of Lemma B.1 is called the *probability measure induced by ν and (f, g)* .

In this paper, we use the following special type of \leq_{tt} -reduction.

Definition. A \leq_{tt} -reduction (f, g) is *orderly* if, for all $x, y, u, v \in \{0, 1\}^*$, if $x < y$, $u \in Q_{(f,g)}(x)$, and $v \in Q_{(f,g)}(y)$, then $u < v$. That is, if x precedes y (in the standard ordering of $\{0, 1\}^*$), then every query of (f, g) on input x precedes every query of (f, g) on input y .

Appendix C. Proof of Martingale Contraction Theorem

Let $w \in \{0, 1\}^*$, and let $y = F_{(f,g),d}^{-1}(w)$. Note that for any $v \succ y$, $|v| = \text{step}(|y|)$ and either $F(v) = w0$ or $F(v) = w1$. Let $l = \text{step}(|y|) - |y|$. We have

$$\begin{aligned}
(f, g)_\prec d(w) &= d(y) \\
&= \sum_{v \succ y} d(v) \nu(v|y) \\
&= \sum_{\substack{v \succ y \\ F(v)=w0}} d(v) \nu(v|y) + \sum_{\substack{v \succ y \\ F(v)=w1}} d(v) \nu(v|y) \\
&\geq \sum_{\substack{v \succ y \\ F(v)=w0}} [\min_v d(v)] \nu(v|y) + \sum_{\substack{v \succ y \\ F(v)=w1}} [\min_v d(v)] \nu(v|y) \\
&= [(f, g)_\prec d(w0)] \sum_{\substack{v \succ y \\ F(v)=w0}} \nu(v|y) + [(f, g)_\prec d(w0)] \sum_{\substack{v \succ y \\ F(v)=w1}} \nu(v|y) \\
&= [(f, g)_\prec d(w0)] \sum_{\substack{x \in \{0,1\}^l \\ F(yx)=w0}} \nu(yx|y) + [(f, g)_\prec d(w0)] \sum_{\substack{x \in \{0,1\}^l \\ F(yx)=w1}} \nu(yx|y) \\
&= (f, g)_\prec d(w0) \nu^{(f,g)}(w0|w) + (f, g)_\prec d(w1) \nu^{(f,g)}(w1|w).
\end{aligned}$$

The penultimate step follows from the fact that (f, g) is an *orderly* \leq_{tt} -reduction, and the last step is Lemma 6.4 of [5]. This shows that $(f, g)_\prec d$ is a $\nu^{(f,g)}$ -supermartingale.

To see that $(f, g)_\prec d$ satisfies the desired success condition, let A , be a language such that $F_{(f,g)}^{-1}(\{A\}) \subseteq S_{\text{str}}^\infty[d]$. If $A \notin \text{range } F_{(f,g)}$, then $F_{(f,g),d}^{-1}(w)$ is undefined for all sufficiently long prefixes w of A , whence it is clear that $A \in S^\infty[(f, g)_\prec d]$. If $A \in \text{range } F_{(f,g)}$, then $F_{(f,g),d}^{-1}(A[0..n-1])$ is defined for all n and $F_{(f,g),d}^{-1}(A) \in F_{(f,g)}^{-1}(\{A\})$, so

$$\begin{aligned}
\limsup_{n \rightarrow \infty} (f, g)_\prec d(A[0..n-1]) &= \limsup_{n \rightarrow \infty} F_{(f,g),d}^{-1}(A[0..n-1]) \\
&\geq \liminf_{n \rightarrow \infty} F_{(f,g),d}^{-1}(A[0..n-1]) \\
&\geq \liminf_{n \rightarrow \infty} F_{(f,g),d}^{-1}(A)[0..n-1] \\
&= \infty,
\end{aligned}$$

whence we again have $A \in S^\infty[(f, g)_\prec d]$.

Appendix D. Proof of Positive Bias Reduction Theorem

Let ν be the coin toss distribution specified by biases $\beta_0, \beta_1, \dots \in [\delta, 1 - \delta]$, and let $\beta' \in [\delta, 1 - \delta]$ and $\epsilon > 0$ be given. We want to construct a formula of the form

$$C_{\beta'} = \left(\bigwedge_{j_1=1}^{a_1} x_{1j_1} \right) \wedge \left(\left(\bigvee_{k_1=1}^{b_1} y_{k_1} \right) \vee \left\{ \left(\bigwedge_{j_2=1}^{a_2} x_{2j_2} \right) \wedge \left[\left(\bigvee_{k_2=1}^{b_1} y_{k_2} \right) \vee \dots \right] \right\} \right). \quad (2)$$

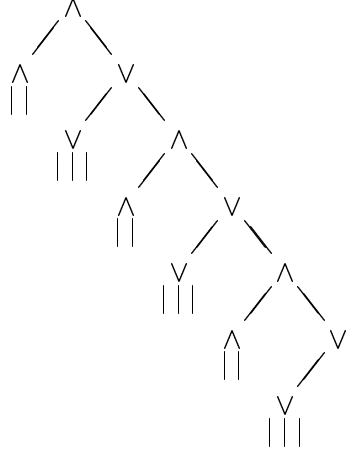
We suppose that the inputs to this circuit are random and independent, and that $\Pr(z = 1) = \beta_i, i = 0, 1, 2, \dots$, if z , ranging over all x 's and y 's, appears i^{th} in the formula above. Under this hypothesis, we want $|\Pr(C_{\beta'} = 1) - \beta'| < \epsilon$ and that the number of inputs to $C_{\beta'}$ be at most $O(\lg(1/\epsilon))$.

For example, if $a_1 = a_2 = \dots = 2$ and $b_1 = b_2 = \dots = 3$, we have:

```
(and
  (and
    z0 z1)
  (or
    (or
      z2 z3 z4)
    (and
      (and
        z5 z6)
      (or
        (or
          z7 z8 z9)
        (and
          (and
            z10 z11)
          (or
            (or
              z12 z13 z14))))))))))
```

and $\Pr(z_i = 1) = \beta_i$.

In pictures, we'd have



For real numbers $x, y \in [0, 1]$, let $x \oplus y$ denote $1 - (1 - x)(1 - y)$. Thus, for independent A and B , $\Pr(A) \oplus \Pr(B) = \Pr(A \vee B)$. Note that \oplus is monotonically increasing in its arguments, that $\bigoplus_{k=1}^n x_k$ is monotonically increasing in n , and that the empty \oplus , $\bigoplus_{k=1}^0 x_k$, is 0.

We need to determine the a 's and b 's in Formula (2). The algorithm, on input β' , $\beta_0, \beta_1, \beta_2, \dots \in [\delta, 1 - \delta]$, and tolerance ϵ , is as follows:

- If $\epsilon > 1$ return the constant false circuit. Also do the right thing if β' is 0 or 1. Otherwise continue...
- Determine a so that

$$\prod_{j=1}^{a+1} \beta_j < \beta' \leq \prod_{j=1}^a \beta_j.$$

Put $A = \prod_{j=1}^a \beta_j$.

- Determine b so that

$$A \cdot \bigoplus_{k=a+1}^{a+b} \beta_k < \beta' \leq A \cdot \bigoplus_{k=a+1}^{a+b+1} \beta_k.$$

Put $B = \bigoplus_{k=a+1}^{a+b} \beta_k$.

- Determine β'' so that $\beta' = A(B \oplus \beta'')$, i.e., $\beta'' = \frac{\beta' - AB}{A(1-B)}$. Inductively find a formula $C_{\beta''}$ of the top-level shape whose probability of acceptance is β'' . Use tolerance $\epsilon / (A(1 -$

B)).

- Put

$$C_{\beta'} = \left(\bigwedge_{j_1=1}^a x_{1j_1} \right) \wedge \left(\left(\bigvee_{k_1=1}^b y_{k_1} \right) \vee C_{\beta''} \right).$$

Now we analyze the algorithm. First, the formula generated has at most $O(\lg(1/\epsilon_0))$ inputs, where ϵ_0 is the initial value of ϵ . Note that each recursive call increases the tolerance ϵ by at least the factor $1/(A(1-B)) = 1/(1-\delta)^{a+b}$; it follows that ϵ will grow to be at least 1 for $\sum(a_i + b_i) \leq \frac{\lg \epsilon_0}{\lg(1-\delta)}$.

Next, the algorithm is correct, i.e., produces a circuit with probability of acceptance in the range $\beta' \pm \epsilon$. Clearly this is the case if the algorithm returns immediately (when $\epsilon > 1$). Otherwise, suppose inductively that $C_{\beta''}$ has probability $\beta'' \pm \epsilon/(A(1-B))$. It follows that $C_{\beta'}$ has acceptance probability in

$$\begin{aligned} A \left(B \oplus \left(\beta'' \pm \frac{\epsilon}{A(1-B)} \right) \right) &= A \left[1 - (1-B) \left(1 - \beta'' \pm \frac{\epsilon}{A(1-B)} \right) \right] \\ &= A [1 - (1-B)(1 - \beta'')] \pm A(1-B) \frac{\epsilon}{A(1-B)} \\ &= A(B \oplus \beta'') \pm \epsilon. \end{aligned}$$

□